Cell-bound complement activation products in systemic lupus erythematosus: comparison with anti-double-stranded DNA and standard complement measurements

Chaim Putterman,1 Richard Furie,2 Rosalind Ramsey-Goldman,3 Anca Askanase,4 Jill Buyon,4 Kenneth Kalunian,5 W Winn Chatham,6 Elena Massarotti,7 Kyriakos Kirou,8 Nicole Jordan,1 Irene Blanco,1 Arthur Weinstein,9 Puja Chitkara,10 Susan Manzi,11 Joseph Ahearn,11 Tyler O’Malley,12 John Conklin,12 Claudia Ibarra,12 Derren Barken,12 Thierry Dervieux12

ABSTRACT

Objective: To compare the performance characteristics of cell-bound complement (C4d) activation products (CBCAPS) on erythrocyte (EC4d) and B cells (BC4d) with antibodies to double-stranded DNA (anti-dsDNA) and complement C3 and C4 in systemic lupus erythematosus (SLE).

Methods: The study enrolled 794 subjects consisting of 304 SLE and a control group consisting of 285 patients with other rheumatic diseases and 205 normal individuals. Anti-dsDNA and other autoantibodies were measured using solid-phase immunoassays while EC4d and BC4d were determined using flow cytometry. Complement proteins were determined using immunoturbidimetry. Disease activity in SLE was determined using a non-serological Systemic Lupus Erythematosus Disease Activity Index SELENA Modification. A two-tiered methodology combining CBCAPS with autoantibodies to cellular and citrullinated antigens was also developed. Statistical analyses used area under receiver operating characteristic curves and calculations of area under the curve (AUC), sensitivity and specificity.

Results: AUC for EC4d (0.82±0.02) and BC4d (0.84±0.02) was higher than those yielded by C3 (0.73±0.02) and C4 (0.72±0.02) (p<0.01). AUC for CBCAPS was also higher than the AUC yielded by anti-dsDNA (0.79±0.02), but significance was only achieved for BC4d (p<0.01). The combination of EC4d and BC4d in multivariate testing methodology with anti-dsDNA and autoantibodies to cellular and citrullinated antigens was also developed. Statistical analyses used area under receiver operating characteristic curves and calculations of area under the curve (AUC), sensitivity and specificity.

Conclusions: CBCAPS have higher sensitivity than standard complement and anti-dsDNA measurements, and may help with the differential diagnosis of SLE in combination with other autoantibodies.

KEY MESSAGES

▸ CBCAPS have higher sensitivity for SLE than standard complement measures.
▸ CBCAPS in multivariate assay panel yield high sensitivity and specificity for SLE.

INTRODUCTION

The diagnosis of systemic lupus erythematosus (SLE) remains challenging partly because of the heterogeneity of the disease, its evolutive nature and also because there are significant limitations with currently available diagnostic immunology tests.1 2 In addition, SLE can be associated with irreversible and unpredictable organ damage, and the disease results in substantial economic burden to the patient and healthcare system.3 4 Therefore, an accurate diagnosis is critical. Currently, the diagnosis of SLE rests on a combination of clinical features (history and physical examination), other tests and immunological testing along with classification criteria.5 6

Among the immunological laboratory measurements performed routinely, the detection of antinuclear antibodies (ANA) is pivotal for screening purposes, owing to its high sensitivity. However, the ANA test is imperfect with a 10%–25% false positive rate among healthy individuals.7 8 More specific immunological tests such as antibodies to double-stranded DNA (anti-dsDNA) and/or anti-Smith (anti-Sm) are also useful in diagnosing SLE, but these markers lack sensitivity.9 Other autoantibodies to cellular antigens
including antibodies to extractable nuclear antigens (ENA: Sjogren’s syndrome (SS)-A/Ro, SS-B/La, Centromere (CENP), Jo-1 U1RNP, scleroderma (Scl)-70) are also routinely measured in the clinical immunology laboratory, and while of value, none of these markers have sufficient predictive value on their own to differentiate SLE from other connective tissue diseases.9 Since patients with SLE often share many clinical features with other connective tissue diseases, the potential for misdiagnosis is not insignificant. It follows that the availability of more sensitive and specific diagnostic tests is highly desirable.

Many patients with SLE experience activation of the classical complement pathway, resulting in reduced complement levels and formation of complement C4 activation products (CBCAPS) that are stably deposited on various cell membranes including erythrocytes (EC4d) and B-lymphocytes (BC4d).10-12 In particular, the deposition of C4d on erythrocytes has a significant impact on erythrocyte membrane deformability,13 thereby potentially impairing the ability of red blood cells to deliver oxygen to tissues. These CBCAPS were initially reported as valuable in SLE diagnostics,11 and their performance characteristics were recently validated in a prospective multicentre study.10 In the present study, we sought to compare the sensitivity of CBCAPS with reduced complement C3/C4 levels as these markers are often used to support SLE diagnosis and are now part of the new Systemic Lupus International Collaborating Clinics (SLICC) criteria for classifying SLE.14 We also compared the performances of CBCAPS with anti-dsDNA antibody levels and developed a multivariate diagnostic methodology combining these markers with other autoantibodies to cellular and citrullinated antigens. Finally, in this large cross-sectional study, the relationship between CBCAPS, standard complement measurements and anti-dsDNA antibody levels and disease activity was evaluated.

METHODS
This study was multicentred and enrolled adult patients with SLE who fulfilled the 1992 American College of Rheumatology (ACR) classification criteria for SLE,5 6 patients with other rheumatic diseases and normal healthy volunteers. Methods have been described in detail elsewhere.10 Two cohorts were defined. The first cohort (cohort 1) consisted of 593 subjects previously characterised and enrolled from April to August 2010 (210 SLE, 120 rheumatoid arthritis (RA), 21 Scl, 9 SS, 16 polymyositis/dermatomyositis (PM/DM), 12 other rheumatic diseases and 205 normal healthy volunteers).10 The second cohort (cohort 2) consisted of 201 subjects (94 SLE, 41 RA, 14 Scl, 24 SS, 11 PM/DM and 17 other diseases) enrolled from June 2011 to September 2013. The study was approved by internal review boards at each participating site, and all subjects provided informed consent. All autoantibodies, complement C3/C4 and CBCAPS determinations were performed in our centralised clinical laboratory. ANA, anti-dsDNA and anti-mutated citrullinated vimentin (anti-MCV) were determined using validated ELISA, while CBCAPS (EC4d and BC4d expressed as net mean fluorescence intensity (MFI)) were determined using flow cytometry.10 All analytes measured were stable during transportation at least 48 h post-phlebotomy. All anti-dsDNA-positive results (as per manufacturer cut-off) detected by ELISA were confirmed using the Crithidia Luciliae immunofluorescence assay (Inova Diagnostics, San Diego, California, USA).15 Antibodies to ENA (SS-A/Ro, SS-B/La, CENP, Scl-70, Jo-1, anti-Sm, U1RNP) were measured using fluorescent-enzyme immunonassays (ThermoFisher, Uppsala, Sweden). Cut-offs recommended by the manufacturer were used for all autoantibodies. Complement C3 and C4 levels were determined using immunoturbidimetry (The Binding Site, San Diego, California, USA), and low complement cut-offs for C3 or C4 were set at a concentration lower than the 95th centile of normal healthy subjects. Among patients with SLE, disease activity was determined using the Systemic Lupus Erythematosus Disease Activity Index SELENA Modification (SELENA-SLEDAI)16 subscore (without the immunology components (i.e. low complement and anti-dsDNA reactivity—modified SELENA-SLEDAI)).

Statistical analysis was conducted using the R software V2.15. Statistical analyses used area under receiver operating characteristic (ROC) curves and calculations of area under the curve (AUC), sensitivity and specificity (SLE vs. rheumatic disease controls and normal healthy volunteers). A two consecutive tier methodology was used to develop the multivariate assay panel10 in which AUC calculation included both tiers, with tier 1-positive samples given an index value consistent with a logistic function of 0.99. The reported performance statistics (sensitivity, specificity) were calculated using leave-one-out cross-validation. Correlation between variables was evaluated using non-parametric Spearman’s rank tests while differences between groups were evaluated using Kruskal–Wallis test. Differences in sensitivity and specificity between the two cohorts of subjects were evaluated using χ2 test.

RESULTS
Patient characteristics
Characteristics for the 794 subjects enrolled are presented in table 1 and consisted of 304 SLE, 161 RA, 35 SS, 35 Scl, 27 PM/DM, 29 patients with other rheumatic diseases and 205 normal healthy volunteers. As expected, the ANA test (>20 units) was a sensitive (89%) yet non-specific marker in distinguishing SLE from other rheumatic diseases (53%). Conversely, anti-dsDNA and anti-Sm were less sensitive (33% and 14%, respectively) but highly specific for SLE (>95%; at manufacturer cut-offs). Increased titres for anti-MCV (>70 units), SS-B/La (>10 units), Scl-70 (>10 units), CENP (>10 units) and Jo-1 (>10 units) were specific in
distinguishing SLE from RA, SS, Scl and PM/DM subjects, respectively (table 1). Among subjects with rheumatic diseases other than SLE, 40% tested positive for one or more of these 5 specific antibodies (anti-MCV, SS-B/La, CENP, Scl-70 and Jo-1). EC4d and BC4d levels were several fold higher in SLE than other rheumatic diseases.

CBCAPS have higher sensitivity than low complement C3/C4 and anti-dsDNA

The performance characteristics of EC4d and BC4d were compared with those of reduced complement (C3/C4) and anti-dsDNA in a total of 764 subjects (288 SLE and 476 non-SLE (274 other rheumatic diseases and 202 healthy subjects); serum was not available for 30 subjects). Lower complement C4 levels were associated with higher EC4d levels (R=−0.249; p<0.001) and BC4d levels (R=−0.343; p<0.001). Similarly, lower complement C3 levels correlated with higher CBCAPS (EC4d: −0.248; BC4d: −0.314; p<0.001). Area under the ROC curves for EC4d (0.82±0.02 (SEM)) and BC4d (0.84±0.02) was higher than those yielded by C3 (0.73±0.02) and C4 (0.72±0.02) (p<0.001) (figure 1). Area under the ROC curves for CBCAPS was also higher than the AUC yielded by anti-dsDNA (0.79±0.02), but significance was achieved for BC4d (p=0.009) and not EC4d (p=0.108). The combination of reduced complement proteins (C3 or reduced C4, each below their respective cut-offs yielding 95% specificity) was 91% specific against the control group, and sensitivity was 66%. At that specificity, anti-dsDNA was 42% sensitive while elevated CBCAPS (EC4d or BC4d each above their respective cut-offs yielding 95% specificity) was 66% sensitive.

Performance characteristics of multivariate assay panel using CBCAPS

The multivariate diagnostic methodology involved two consecutive tiers of analysis (figure 2). Tier 1 relied on positivity for anti-dsDNA, anti-Sm or elevated EC4d (>75 net MFI) and BC4d (>200 net MFI). A total of 140 patients with SLE (46%), 9 patients with other diseases (3%) and 1 normal healthy subject (<1%) were positive.
for any one of the tier 1 markers. Altogether, the specificity (vs. other rheumatic diseases) for tier 1 markers was 96% for anti-dsDNA and >99% for EC4d (>75 net NFI), BC4d (>200 net MFI) and anti-Sm. Tier 2 and the index score were determined among subjects negative in tier 1 (644 subjects including 164 SLE, 276 other diseases and 204 normal healthy subjects). This index score (output of logistic regression) was calculated by combining an ANA component (using ANA cut-offs at 20 and 60 units), a CBCAPS component (sum of log normalised EC4d and BC4d net MFI) and an antibody specificity component (corresponding to positivity to either anti-MCV, SS-B/La, CENP, Scl-70 or Jo-1). As presented in figure 3, 102 of the 164 patients with SLE analysed in tier 2 (62%) presented with an index score >0 while 62 presented a score <0. Conversely, among the 276 subjects with rheumatic diseases other than SLE, a total of 245 subjects (148 RA, 23 SS, 32 Scl, 20 PM/DM and 22 others) presented with an index score <0, thus yielding a specificity of 89% (245/276). Altogether, when the two tiers were combined, the overall sensitivity for SLE was 80% (242/304) while the overall specificity in distinguishing SLE from other diseases ranged from 70% (23/33 SS) to 92% (148/161 RA) (overall specificity was 86% (245/285)). Specificity in distinguishing SLE from normal subjects was 98% (201/205).

The difference in sensitivity between the two cohorts (cohort 1=81% (170/210) vs. cohort 2=77% (72/94)) was not statistically significant (p=0.473). Also, the difference in specificity in distinguishing SLE from other diseases was not statistically significant between the two cohorts (cohort 1= 87% (155/178) vs. cohort 2=84% (90/107); p=0.602).

Finally, the performance characteristics of this two-tiered multivariate model combining CBCAPS with antibodies to cellular and citrullinated antigens (as presented in figure 2) were compared with those achieved without CBCAPS or without the antibody specificity component. As presented in table 2, the two-tiered model combining ANA, anti-dsDNA and anti-Sm (model #1) resulted in modest performances (AUC=0.781) with overall sensitivity of 89% and specificity of 53% (specificity in distinguishing normal subjects was 90%). However, the addition of CBCAPS (in both tier 1 and tier 2; model #3) significantly augmented the performances of the former model (AUC=0.894; p<0.001). Further enhancement in the specificity in distinguishing SLE from other connective tissue diseases (SS +18%; systemic sclerosis +34%; PM/DM +15% and RA +15%) was
obtained with the addition of the antibody specificity component (AUC=0.913; p<0.01; model #4).

**Contribution of disease activity to test sensitivity**

The modified SELENA-SLEDAI subscores (non-serological SELENA-SLEDIA) together with anti-dsDNA, low complement C3/C4 and CBCAPS were available in 273 of the 304 SLE subjects (median 1.0; range 0–23). Among patients with a modified SELENA-SLEDAI subscore of 0 (131/273 patients), the sensitivity was 29% for anti-dsDNA, 36% for low complement, 62% for elevated CBCAPS and 77% for the two-tiered methodology.

**Table 2**  
Stepwise addition of CBCAPS and antibody components to ANA, anti-dsDNA and anti-Sm serologies

<table>
<thead>
<tr>
<th>Model #1</th>
<th>Model #2: model #1 +specificity component</th>
<th>Model #3: model #1+CBCAPS</th>
<th>Model #4: model #1+CBCAPS +specificity component</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tier 1</td>
<td>dsDNA; Sm</td>
<td>dsDNA; Sm; EC4d; BC4d</td>
<td>dsDNA; Sm; EC4d; BC4d</td>
</tr>
<tr>
<td>Tier 2</td>
<td>ANA*</td>
<td>ANA*+Antibody specificity†</td>
<td>ANA*+CBCAPS‡</td>
</tr>
<tr>
<td></td>
<td>Total sensitivity (%)</td>
<td>89</td>
<td>84</td>
</tr>
<tr>
<td></td>
<td>Total specificity (others) (%)</td>
<td>53</td>
<td>76</td>
</tr>
<tr>
<td></td>
<td>Sjogren’s syndrome (%)</td>
<td>12</td>
<td>52</td>
</tr>
<tr>
<td></td>
<td>Scleroderma (%)</td>
<td>34</td>
<td>57</td>
</tr>
<tr>
<td></td>
<td>PM/DM (%)</td>
<td>26</td>
<td>59</td>
</tr>
<tr>
<td></td>
<td>RA (%)</td>
<td>67</td>
<td>77</td>
</tr>
<tr>
<td></td>
<td>Other diseases (%)</td>
<td>69</td>
<td>95</td>
</tr>
<tr>
<td></td>
<td>Specificity (NHV) (%)</td>
<td>90</td>
<td>95</td>
</tr>
<tr>
<td></td>
<td>Two-tiered AUC</td>
<td>0.781</td>
<td>0.894</td>
</tr>
</tbody>
</table>

The diagnostic methodology involved two consecutive ‘tiers’ of analysis in which the aggregated diagnostic value of anti-dsDNA, anti-Sm, ANA combined with CBCAPS (EC4d and BC4d) and antibody specificity component (positivity to either anti-MCV, SS-B/La, Jo-1, Scl-70 or CENP) (model #4 as presented figure 1) was compared with model #1. Model#1 relied on anti-dsDNA (>301 units confirmed by Crithidia) or anti-Sm (>10 units) reactivities in tier 1. Tier 2 was determined among subjects negative in tier 1 and consisted of an index score of the ANA component only (as defined in figure 1 legend); positivity for the index score (>0) was indicative of SLE, and the two-tier combination resulted in the overall performance characteristics (total sensitivity and total specificity). The stepwise addition of CBCAPS (model #3) and the antibody specificity component (model #4) to model #1 maximised the performances characteristics.

Total sensitivity and specificity are SLE vs. all others diseases. The individual sensitivities and specificities are SLE vs. the individual disease.

*ANA component.
†Antibody specificity component.
‡CBCAPS component.
§p<0.01 vs. model 1, 2 and 3.

ANA, antinuclear antibodies; anti-Sm, anti-Smith; AUC, area under the curve; CBCAPS, complement C4 activation products; anti-dsDNA, antibodies to double-stranded DNA; PM/DM, polymyositis/dermatomyositis; RA, rheumatoid arthritis; SLE, systemic lupus erythematosus; NHV, normal healthy volunteers; anti-MCV, anti-mutated citrullinated vimentin; EC4d, complement C4d levels on erythrocytes; BC4d, complement C4d levels on cells; CENP, Centromere extractable nuclear antigen; Scl, scleroderma; SS, Sjogren’s syndrome.
Among SLE, those positive in tier 1 presented higher disease activity as assessed using the SELENA-SLEDAI subscore (3.3±0.4 points, average ±SEM) than those positive (1.9±0.3 points) or negative in tier 2 (1.4±0.3) (Kruskal–Wallis test: p=0.002). Higher level of disease activity across three SELENA-SLEDAI subscore groups (0, 1–6 and >6) was associated with a higher proportion of patients testing positive for elevated CBCAPS (p=0.027) (χ² test for homogeneity on a 3×2 contingency table). Similarly, higher level of disease activity was also associated with a higher proportion of patients testing positive for reduced complement (p=0.002) and positive anti-dsDNA (p=0.005). Among patients with SLE with less active disease (modified SELENA-SLEDAI subscore=0), the difference in sensitivity was 26% greater for elevated CBCAPS (62%) than for reduced complement C3 or C4 (36%) (p<0.001). Furthermore, the difference in sensitivity remained higher (17%) for CBCAPS compared with low complement among patients with SLE having a modified SELENA-SLEDAI subscore >6 points but without reaching statistical significance (p=0.21).

**DISCUSSION**

Because limitations to currently available immunological tests can result in underdiagnosis of SLE and inappropriate treatment, validation of new diagnostic biomarkers is needed. Although the SLE classification criteria established by the ACR and more recently SLICC are being used to diagnose SLE, these instruments were initially developed for clinical research and not as diagnostic criteria. Also, because of the evolution of organ involvement and laboratory abnormalities in SLE, it may take years for any given patient to meet the criteria. It follows that improvement in currently available laboratory tests and diagnostic immunology methods to assist clinicians with the diagnosis of the disease is warranted. This study builds upon our initial report that CBCAPS assays add significant value to accurate SLE diagnosis when combined with routinely determined ANA and anti-dsDNA, and we now report here that elevated EC4d or BC4d have a 22% higher sensitivity than reduced C3/C4, one of the components of the new SLICC criteria. It follows that the determination of CBCAPS could help address some of the limitations of reduced complement C3/C4 levels in the diagnosis of SLE. For example, complement activation and C3/C4 consumption in SLE may be masked by the production of these complement proteins as a function of inflammation while the detection of CBCAPS is de facto associated with previous complement activation.

The results of this research suggest that the determination of CBCAPS may be another valuable diagnostic biomarker for SLE. However, while greater sensitivity was achieved with CBCAPS than for reduced complement proteins, the overall sensitivity was modest (i.e. 66%), thereby indicating that the addition of other markers would be warranted to achieve improved diagnostic performances in clinical practice. Here, we have integrated antibodies to ENA into our diagnostic methodology and included a second cohort of 201 subjects. Our original two-tiered diagnostic methodology relied on positivity for anti-dsDNA (tier 1) and a weighed score (tier 2) combining ANA titres, EC4d and BC4d with anti-MCV to maximise specificity in distinguishing patients with SLE from patients with RA. In this methodology, tier 1 relied on highly specific markers for SLE and included positivity for anti-dsDNA and anti-Sm (both part of the
ACR classification criteria for SLE).\(^5\)\(^6\)\(^14\) with elevated EC4d and BC4d. As expected, the combination of tier 1 markers yielded high specificity (>96%). Tier 2 determination among tier 1-negative subjects consisted of a weighted score comprising an ANA component, a CBCAPS component (EC4d and BC4d densities) minus a specificity component composite of positivity for anti-MCV, SS-B/La, CENP, Scl-70 or Jo-1. The inclusion of SS-B/La maximised the specificity of the method in distinguishing SLE from patients with SS. Similarly, the inclusion of CENP and Scl-70 maximised the specificity in distinguishing SLE from those with Scl, while the inclusion of Jo-1 maximised the specificity for PM/DM subjects (table 2). Moreover, the specificity of the diagnostic methodology in distinguishing SLE from RA was maintained with the addition of anti-MCV. Altogether, the two-tier model achieved a balanced 80% sensitivity for SLE (34% improvement over tier 1 only) with a specificity of 86%. All serological tests that were part of our diagnostic immunology method used widely distributed platforms (e.g. ELISA or fluorescent-enzyme immunoassays) and it is important to keep in mind that the overall performance characteristics of our multivariate method could potentially differ if other platforms such as laser bead immunoassay, chemiluminescence immunoassay or line immunoassays\(^20\) were employed. However, there is usually a reasonable concordance between the various methods and reagents offered by various manufacturers.\(^21\)

We also evaluated whether the combinations of these complementary markers in a multivariate assay panel collectively outperformed the performance characteristics of single markers. Our results indicated that the aggregate value of CBCAPS with serological markers outperformed the best performances achieved by combining the single serological markers together. These data not only illustrate the value of CBCAPS as complementary markers to commonly determined serologies but also the power of combining multianalytes in multivariate assay panels. The major strength of our study was the large number of patients enrolled and the fact that all laboratory analyses were centralised in only one clinical laboratory. However, we acknowledge that additional studies should establish the performance characteristics of our diagnostic methodology in distinguishing SLE from diseases such as antiphospholipid syndrome, primary fibromyalgia syndrome, autoimmune hepatitis, undifferentiated connective tissue diseases and autoimmune thyroiditis. It is also not known whether abnormal CBCAPS selectively indicates activity in a particular organ system (e.g. kidney) and additional studies will be essential to address this point.

The sensitivity of low complement, elevated CBCAPS, anti-dsDNA and our multivariate two-tiered method was compared between patients with various levels of disease activity as determined using the modified SELENA-SLEDAI subscore (without the low complement and anti-dsDNA components). Our analysis revealed that the sensitivity for elevated CBCAPS outperformed low complement among patients with active and inactive disease, and that higher sensitivity was observed using the multivariate panel. Thus, elevated CBCAPS is more likely among patients with active disease, and these data suggest that CBCAPS could help monitor SLE disease activity. Furthermore, the higher sensitivity of CBCAPS compared with reduced complement and anti-dsDNA was particularly significant in SLE having a modified SELENA-SLEDAI score of 0. Thus, CBCAPS may be particularly important for diagnosing SLE in patients having less active disease, such as outpatients with early or mild SLE. Whether the patients having inactive disease and complement activation will become clinically active is not known, and prospective study will help establish whether CBCAPS can predict disease flares.

In conclusion, CBCAPS have a higher diagnostic sensitivity than reduced complement and anti-dsDNA. The assay panel combining CBCAPS with antibodies to cellular and citrullinated antigens is sensitive and specific for SLE and may be clinically useful to help diagnose SLE.
REFERENCES