

# Effects of metformin on disease flares in patients with systemic lupus erythematosus: post hoc analyses from two randomised trials

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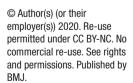
## **ABSTRACT**

**Objective** To confirm that metformin prevents flares in patients with SLE with low disease activity, we performed a post hoc analysis combining our previous two randomised trials.

Methods Post hoc analyses were performed on data from the open-labelled proof-of-concept trial (n=113, ChiCTR-TRC-12002419) and placebo-controlled 'Met Lupus' trial (n=140, NCT02741960) comparing the efficacy of metformin versus placebo/nil add-on to standard therapy in patients with SLE with low disease activity (SELENA-SLEDAI ≤4). The primary endpoint was defined by the SELENA-SLEDAI Flare Index at 12-month follow-up. A subgroup analysis was performed.

Results Overall, 201 eligible patients were included, with 99 allocated to metformin group and 102 allocated to the placebo/nil group. By 12 months of follow-up, 21 patients (21.2%) flared in the metformin group, as compared with 36 (35.3%) in the placebo/nil group (p=0.027, risk ratio=0.68, 95% Cl 0.46 to 0.96). Subgroup analysis showed that patients with negative anti-dsDNA antibody and normal complement at baseline, and a disease duration <5 years with concomitant use of hydroxychloroquine had a better response to metformin. Conclusion Post hoc pooled analyses suggested that metformin reduced subsequent disease flares in patients with SLE with low disease activity, especially for serologically quiescent patients.

# INTRODUCTION



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SLE remains a chronic autoimmune disease very difficult to treat despite growing advances. Three fundamental questions are always asked in the definition of treatment goals for SLE, that is, 'How to control active disease?', 'How to minimise accrual organ damage?' and 'How to prevent disease flares?'. The reality of the last question is burdensome. It has been reported that the overall annual flare rate in various lupus populations, non-Caucasian or multi-ethnic cohorts in particular, is over 20%–40%, even in a stable disease setting.<sup>1–3</sup>

Owing to the intrinsic immunometabolic abnormalities of SLE,<sup>4 5</sup> metformin, a traditional anti-diabetic drug, add-on to standard therapy becomes an intriguing and promising metabolic approach to prevent flares.

investigator-initiated, randomised controlled trials have been completed, each with inconclusive results. The first singlecentre, open-labelled, proof-of-concept trial enrolled Chinese patients with SLE with mild-to-moderate disease activity (baseline prednisone ≤30 mg/day) and demonstrated that metformin add-on decreased 1-year frequency of disease flares (metformin: 23.2% vs nil: 42.1%, p=0.04). Thus, we initiated a multicentre, randomised, double-blind placebo-controlled trial ('Met Lupus' Trial) to further evaluate the efficacy and safety of metformin in low-grade activity (baseline SELENA-SLEDAI ≤6, prednisone ≤20 mg/ day) Chinese patients with SLE at risk of flares (with documented flare within 12 months before screening).<sup>3</sup> However, this trial was under-recruited to draw a definite conclusion. The primary endpoint of reducing disease flares within 12 months was unmet, although a lower frequency was observed (metformin: 20.9% vs placebo: 34.2%, p=0.08).3 Nevertheless, the safety profile of metformin was consistently good in these two trials. To further address the lupus flare prevention outcome, we carried out a post hoc analysis by combining the aforementioned two trials, which were the only two existing and similarly designed. This post hoc pooled analysis was empowered to evaluate the effect of metformin on subgroups of patients.

# METHODS

The proof-of-concept trial (ChiC-TR-TRC-12002419, n=113, 2012–2014





Characteristics	Proof-of-concept	Met Lupus trial	Pooled data	Metformin	Placebo/nil
Characteristics	trial (n=70)	(n=131)	(n=201)	(n=99)	(n=102)
Age (years)	31.00±12.73	33.23±11.65	32.47±12.05	32.63±12.07	32.31±12.08
Female	87.1% (61)	93.1% (122)	91.0% (183)	89.9% (89)	92.2% (94)
BMI (kg/m <sup>2</sup> )	22.22±3.52	22.84±3.64	22.62±3.60	22.29±3.44	22.95±3.74
Disease duration (years)	3.46±3.78	4.74±4.28	4.30±4.13	4.01±3.74	4.57±4.51
SELENA-SLEDAI	1.63±1.65	2.44±1.54	2.15±1.62	2.04±1.60	2.26±1.65
Serological results					
dsDNA+	58.6% (41)	61.1% (80)	60.2% (121)	59.6% (59)	60.8% (62)
Low C3	55.7% (39)	51.9% (68)	53.2% (107)	51.5% (51)	54.9% (56)
Low C4	24.3% (17)	16.0% (21)	18.9% (38)	18.2% (18)	19.6% (20)
Baseline therapy					
Prednisone (mg/day)	9.6±4.46	10.53±4.44	10.21±4.46	10.09±4.63	10.32±4.31
Hydroxychloroquine	88.6% (62)	90.1% (118)	89.6% (180)	91.9% (91)	87.3% (89)
IS*	60.0% (42)	67.2% (88)	64.7% (130)	67.7% (67)	61.8% (63)
Mycophenolate	24.3% (17)	34.4% (45)	30.9% (62)	29.3% (29)	32.4% (33)
Methotrexate	21.4% (15)	18.3% (24)	19.4% (39)	20.2% (20)	18.6% (19)
Azathioprine	11.4% (8)	6.9% (9)	8.5% (17)	9.09% (9)	7.8% (8)
Ciclosporin	1.4% (1)	2.3% (3)	2.0% (4)	2.0% (2)	2.0% (2)
Tacrolimus	0% (0)	3.1% (4)	2.0% (4)	4.0% (4)	0% (0)
Leflunomide	1.4% (1)	2.3% (3)	2.0% (4)	3.03% (3)	1.0% (1)
Flares					
Any	30% (21)	27.5% (36)	28.4% (57)	21.2% (21)	35.3% (36)
Major	12.9% (9)	20.6% (27)	17.9% (36)	12.1% (12)	23.5% (24)

<sup>\*</sup>IS: immunosuppressive agents; none of the patients were treated with sirolimus. Number of patients are indicated in parentheses. BMI, body mass index.

enrolment) and the 'Met Lupus' trial (NCT02741960, n=140, 2016-2017 enrolment) were conducted in Shanghai, China. Eligible patients fulfilled the following criteria from the intention-to-treat populations: (1) SLE classification criteria<sup>6</sup> and age ≥18 years; (2) SELENA-SLEDAI score  $^7 \le 4$  at screening; (3) stable treatment regimen with fixed doses of prednisone (≤20 mg/day), hydroxychloroquine (HCQ) or immunosuppressants for at least 30 days before screening. The exclusion criteria included (1) previous exposure of metformin within 30 days before screening; (2) history of type I or type II diabetes; (3) hepatic or renal dysfunction; (4) current pregnancy or breast feeding; (5) previous exposure to cyclophosphamide or biological agents in the past 6 months. Metformin was administered with a target dose of 0.5 g, three times daily. The control group was either taking placebo or nil.

The primary outcome was the percentage of patients with lupus flares defined by the modified SELENA-SLEDAI Flare Index<sup>8</sup> at 12-month follow-up. The secondary outcomes included the frequency of major flares, mild-to-moderate flares, time to flare, time to major flare, change of prednisone dose, change of body mass index (BMI) and subgroup analyses. Continuous parameters were compared with Student's two-tailed t-test, and categorical

parameters were analysed with  $\chi^2$  test. Kaplan-Meier curve was applied, and event-free survival was adjusted for trial by Cox regression analysis. Subgroup and interaction analyses in the Forest plot for outcomes were carried out according to clinical and laboratory parameters, including BMI, disease duration, concomitant drug use and serology markers (anti-dsDNA antibody and complement levels), using Cox regression model without trial adjustment. A p value <0.05 was considered as significant. SPSS software V.22.0 and GraphPad software V.5.0 were used for analysis.

# **RESULTS**

Of the 113 patients in the proof-of-concept trial, 28 were excluded due to SELENA-SLEDAI score >4 at screening, 13 were subsequently ruled out because their baseline prednisone was >20 mg/day and another 2 were excluded due to recent exposure to cyclophosphamide, leaving 70 eligible patients for this post hoc pooled analyses. Of the 140 patients in the 'Met Lupus' trial, 131 patients were selected according to the same criteria (online supplemental figure S1). Of those 201 eligible patients with SLE, 91% (187) were female. The mean age was 32.5 years, and the mean disease duration was 4.3 years. The

A.						
Placebo (n=102)	р					
36 (35.3%)	0.027					
24 (23.5%)	0.035					
12 (11.8%)	0.54					
6.83±51.10	0.10					
-0.09±1.39	0.0002					
	6.83±51.10					

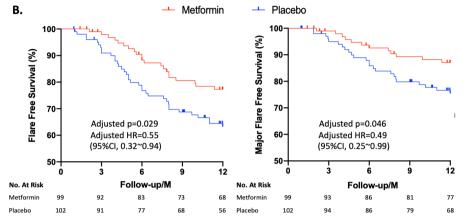


Figure 1 Outcome measures of pooled analysis. (A) Flares, changes of prednisone and body mass index (BMI) during 12-month follow-up. (B) Kaplan-Meier curves of flare-free survival and major flare-free survival. P values and HRs were adjusted for trial using Cox model.

average baseline SELENA-SLEDAI score was 2.2 and the daily dose of prednisone was 10.2 mg at screening. The metformin arm included 99 patients, of which 36 were from the proof-of-concept trial and 63 from the Met Lupus trial; while 102 patients in the placebo/nil arm consisted of 34 and 68 patients from the two trials, respectively. Baseline characteristics of eligible patients from two trials and two pooled arms (metformin vs placebo/nil) were comparable (table 1).

During the 12-month follow-up, 21.2% patients (n=21) flared in the metformin group, which was significantly lower than in the placebo/nil group, which

was 35.3% (n=36) (p=0.027, RR 0.68, 95% CI 0.46 to 0.96). Patients taking metformin also had less major flares (12.1% vs 23.5%, p=0.035, RR 0.63, 95% CI 0.38 to 0.97) (figure 1A). Flare-free and major flare-free survival analysis by Kaplan-Meier curve further indicated that metformin reduced disease flares by 45% (adjusted HR 0.55, 95% CI 0.32 to 0.94) and major flares by 51% (adjusted HR 0.49, 95% CI 0.25 to 0.99) within 12 months (figure 1B). Specific flare events in the two arms are listed in online supplemental table S1. There was no significant difference between the two groups in the prednisone dose changes from baseline to last visit,

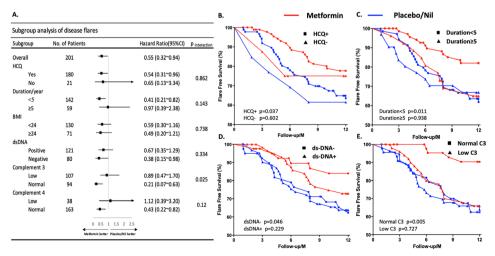


Figure 2 Subgroup and interaction analysis. (A) Forest map for different subgroups. Comparisons of flare-free survival curves in patients with or without concomitant use of hydroxychloroquine (HCQ) (B), with disease duration <5 or ≥5 years (C), with baseline status of anti-dsDNA (D) and C3 (E) as indicated. BMI, body mass index.

whereas the BMI net reduction was more pronounced in the metformin group (figure 1A).

In order to identify specific subtypes of patients with SLE who will benefit most from metformin, a subgroup analysis was performed. Interestingly, patients with negative anti-dsDNA antibody (HR 0.38, 95% CI 0.15 to 0.98), normal complement 4 (HR 0.43, 95% CI 0.23 to 0.82) and normal complement 3 (HR 0.21, 95% CI 0.07 to 0.63) level in particular (p=0.025 for the interaction) at baseline showed a better response to metformin in terms of preventing disease flares. Patients with a disease duration <5 years (HR 0.41, 95% CI 0.21 to 0.82) and concomitant use of HCQ (HR 0.54, 95% CI 0.31 to 0.96) also incurred a better responsiveness to metformin (figure 2A–E).

## DISCUSSION

Most of the current SLE clinical trials focus on how to control active disease, 9 10 which leaves the question on how to prevent lupus flares largely unanswered. This question, however, is likely to be the key that bridges active disease control and accrual damage protection. Metformin, given its good safety profile and very low cost, becomes an appealing candidate as a secondary prevention tool to reduce SLE flare risk.

This post hoc pooled analyses focused on a group of SLE with low disease activity but at risk to flare. Twothirds of the subjects belonged to the 'Met Lupus' trial in which the participants had had at least one documented disease flare within the past 12 months. The previous flare information was lacking for the remaining one-third of patients. However, patients from both trials share a similar subsequent annual flare incidence (~30%) and other baseline clinical features. The rationale for specifying SELENA-SLEDAI ≤4 in the inclusion criteria for the current pooled analysis is to enrich a more homogeneous population, that is, patients with SLE with baseline low disease activity by definition. 11 As an informative example, a recent randomised trial tested the withdrawal of lowdose prednisone (5 mg/day) in patients with SLE with a clinically quiescent disease (SELENA-SLEDAI≤4). All participants were flare-free for more than 1 year, and 46% of them had long-term clinical quiescence (> 5-year flarefree). After prednisone withdrawal, the 12-month flare incidence increased from 7% to 27%. 12 In other words, pursuing higher treatment goals such as glucocorticoidfree Doris remission<sup>11</sup> in patients with an established low disease activity may subject them to flare risks. Whether metformin add-on could facilitate achieving these higher treatment goals in low-activity patients with SLE by preventing flares is yet to be explored.

Intriguingly, subgroup and interaction analysis identified that patients with serological quiescence (negative for anti-dsDNA antibody or normal complement levels) at baseline had the lowest disease flare incidence when treated with metformin, especially those with normal complement 3. As accepted biomarkers reflecting disease activity, anti-dsDNA antibody and complement levels do

not predict well subsequent flares. 13 Indeed, our pooled data demonstrated similar flare incidence between serological quiescent and serological active patients in the placebo/nil group (figure 2D,E). This serologicalquiescent-responder pattern of metformin may be rooted to its unique mode of action, apart from other treatments, such as belimumab where the responders tend to be serologically active patients.<sup>14</sup> This phenomenon offers a rationale for future investigations on combination therapies. In fact, according to our data, metformin may have a synergic effect with HCO, while no such effect was observed with other immunosuppressants, such as with mycophenolate mofetil (data not shown). Of note, since metformin indirectly blocks mTOR, which is a canonical target of sirolimus in SLE, 10 however, none of our patients were treated with sirolimus. Therefore, analysis regarding the possible interaction between these two agents was unavailable. In addition, the disease duration <5 years was another indicator for metformin response. The BLISS trials indicated that a damage index (SDI score) >1 reduced the efficacy of belimumab. 15 Whether the disease duration reflects the accrual damage over time, which in turn impacts the metformin response, deserves further investigation.

Our study has several limitations. First, this post hoc pooled analyses combining one open-labelled study and one underpowered RCT requires a cautious interpretation. The caveat is that the evidence it provided should not surpass strictly designed RCT. In addition, as we have mentioned previously, our studies were conducted only with Chinese patients with SLE in Shanghai, which limits its generalisability. A larger and longer multicentre trial in different ethnic populations is warranted.

In conclusion, this post hoc pooled analysis further confirmed the possible efficacy of metformin in patients with SLE with low disease activity in regard to preventing disease flares, especially for serologically quiescent patients. Moreover, our data may help to shape further clinical trial design to provide evidence guiding better management for this very difficult disease.

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**Contributors** SY and LM participated in study design and revision of the manuscript. FS and SG were involved in literature search, data collection, statistical analysis, writing and revision of the manuscript. HaW, HuW, ZL, TL and XW participated in data collection. LL, WW and XT were involved in data collection and supervision of the study. All the authors revised the manuscript and approved the final report.

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