




The ALPHA Project: Establishing consensus and prioritisation of global community recommendations to address major challenges in lupus diagnosis, care, treatment and research

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ABSTRACT

The Addressing Lupus Pillars for Health Advancement (ALPHA) Project is a global consensus effort to identify, prioritise and address top barriers in lupus impacting diagnosis, care, treatment and research. To conduct this process, the ALPHA Project convened a multistakeholder Global Advisory Committee (GAC) of lupus experts and collected input from global audiences, including patients. In phase I, the ALPHA Project used expert interviews and a global survey of lupus experts to identify and categorise barriers into three overarching pillars: drug development, clinical care and access to care. In phase II, reported here, the GAC developed recommended actionable solutions to address these previously identified barriers through an in-person stakeholder meeting, followed by a two-round scoring process. Recommendations were assessed for feasibility, impact and timeline for implementation (FIT), where potential FIT component values were between 1 and 3 and total scores were between 3 and 9. Higher scores represented higher achievability based on the composite of the three criteria. Simplifying and standardising outcomes measures, including steroid sparing as an outcome (drug development) and defining the lupus spectrum (clinical care) ranked as the highest two priority solutions during the GAC meeting and received high FIT scores (7.67 and 7.44, respectively). Leveraging social media (access to care) received the highest FIT score across all pillars (7.86). Cross-cutting themes of many solutions include leveraging digital technology and applying specific considerations for special populations, including paediatrics. Implementing the recommendations to address key barriers to drug development, clinical care and access to care is essential to improving the quality of life of adults and children with lupus. Multistakeholder collaboration and guidance across existing efforts globally is warranted.

Key messages

What is already known about this subject?

- ▶ Lupus is a complex, heterogeneous autoimmune disease in which outcomes vary widely across the globe, with lengthy time to diagnosis, limited treatment options and recognised health disparities.
- ▶ The Addressing Lupus Pillars for Health Advancement (ALPHA) Project is a multiphase, global consensus effort that has thoughtfully engaged global lupus experts, including clinicians, researchers, industry representatives, advocacy organisations and people with lupus to identify and prioritise key barriers spanning drug development, clinical care and access to care.

What does this study add?

- ▶ The ALPHA Global Advisory Committee convened to develop strategies and recommendations to address prior outlined barriers, including simplifying and standardising outcome measures, including steroid sparing; defining the lupus spectrum; increasing understanding of social determinants of health and contributors to health disparities; and assessing and identifying gaps of current biomarker development and data sharing activities.

INTRODUCTION

Background

Systemic Lupus Erythematosus (SLE, or lupus) is a complex, heterogeneous autoimmune disease with enormous multisystem impact. Despite considerable variability in outcomes, people with lupus have an overall increased risk of death. While lupus impacts people of all

Key messages

How might this impact clinical practice?

- ▶ Large-scale, coordinated efforts to develop and implement recommendations from the ALPHA Project across global stakeholder groups have the potential to improve outcomes for people with lupus by reducing time to diagnosis, increasing availability of safe and effective treatment options, and improving access to care.

ages and races, young age at onset, non-European ancestry and lower socioeconomic status are risk factors for poorer outcomes. Minority racial/ethnic groups, including people of African ancestry, Hispanics and Asians have a significantly higher risk of death due to lupus. Geographical differences, poverty, education levels and insurance status also negatively impact lupus mortality.^{1,2} Not surprisingly, numerous healthcare access issues persist, including access to specialty care and institutions skilled in treating lupus.^{3,4} These realities contribute to significant unmet needs in care.

In contrast to other autoimmune diseases such as rheumatoid arthritis and psoriasis, there are few approved medications for lupus. Persistent challenges to understanding disease biology, defining clinical trial inclusion criteria and endpoints, developing instruments to measure changes in clinical activity and controlling background medications have posed as long-standing barriers in lupus drug development.⁵ Many promising therapeutic agents have failed to demonstrate efficacy over standard of care, and there are few trials that have focused on or included paediatric populations. At the same time, over 30 companies are currently investing in lupus clinical trials.⁶

Another pressing consideration in lupus drug development is safety. Current treatments used for lupus, including glucocorticoids and immunosuppressive treatments, are associated with significant toxicity and poor long-term outcomes.^{7,8} Many patients with lupus are treated with combination therapies and take numerous concomitant medications, making drug interactions a

source of concern for patients and healthcare providers. In paediatric patients, drug metabolism differences, puberty and linear growth, and ongoing brain development are important factors that influence therapy development and drug safety.

The Addressing Lupus Pillars for Health Advancement (ALPHA) Project

The ALPHA Project is a landmark initiative to identify, prioritise and implement global strategies to address the most pressing challenges that limit progress in lupus outcomes. ALPHA brings together lupus experts from around the globe—including patients—to identify barriers impacting drug development, clinical care and access to care, along with actionable solutions to address each barrier. Phase I, during which these barriers were identified, was completed in 2019.⁹ As described in this article, the goals of phase II were to confirm the barriers, identify and reach consensus on actionable solutions to each barrier, and determine preliminary steps to implement these solutions. **Figure 1** summarises the phases of the ALPHA Project.

ALPHA Project leadership

The ALPHA Project is led by the Lupus Foundation of America (LFA) in collaboration with the Tufts University School of Medicine Center for the Study of Drug Development and a Global Advisory Committee (GAC) of lupus experts. The GAC, composed of the publication authors and listed in online supplemental file 1, is a diverse group of lupus patient advocates, clinicians, academic researchers and biopharmaceutical industry representatives across five countries.

ALPHA Project phase I summary

As described in Manzi *et al*, phase I of the ALPHA Project examined barriers to lupus diagnosis, care, treatment and research, and how these barriers impact the quality

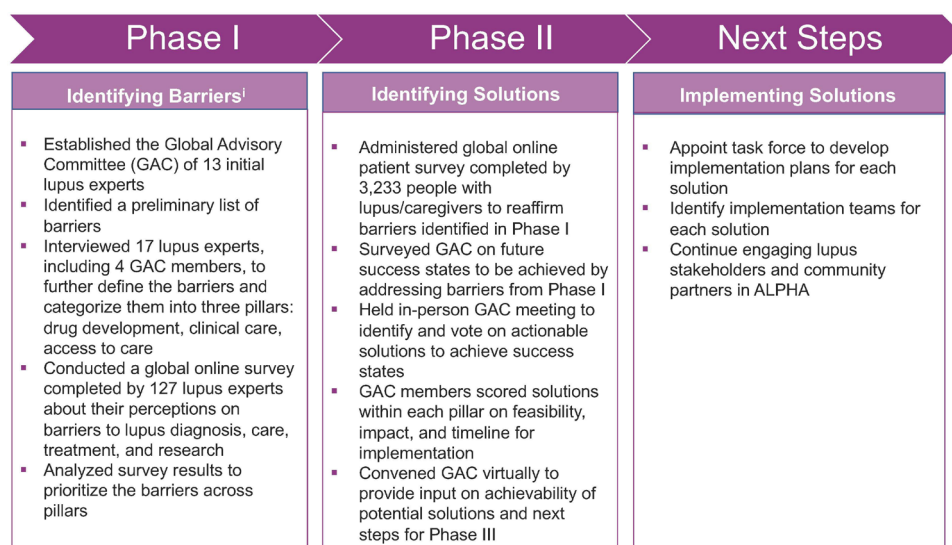


Figure 1 ALPHA Project phases.

Table 1 Top three barriers in each pillar identified in phase I

Drug development	Clinical care	Access to care
Lack of biomarkers to predict response to drug in clinical trials	Lack of diagnostic, predictive and prognostic biomarkers for lupus	Less effective management of lupus due to social determinants of health in predominantly lower socioeconomic status areas
Lack of user-friendly, sensitive and accurate outcome measures	Lack of treatment adherence	Lack of patient access to clinical lupus experts
Suboptimal clinical trial design and outcome measures for patients across age groups	Limited awareness and understanding of lupus among non-expert medical professionals	Lack of access to medications either due to lack of coverage or added cost to patients

of life of people with lupus. The GAC, consisting of 13 thought leaders in phase I, identified a preliminary list of barriers through a mixed-methods approach that included structured interviews and a global survey. Representatives from the Tufts University School of Medicine Center for the Study of Drug Development interviewed 4 GAC members and 13 additional non-GAC lupus experts comprising clinicians, clinical investigators, patient advocates, government representatives and people with lupus to further characterise the barriers initially outlined by the GAC. The top barriers identified by interviewees were categorised into three pillars: (1) drug development, (2) clinical care and (3) access to care.

Findings from the expert interviews informed the development of an online survey distributed to a diverse, international stakeholder audience to achieve broad consensus on the barriers as well as prioritisation. The study validated known challenges in lupus, identifying the following top barriers to improving lupus outcomes (table 1).

ALPHA PROJECT PHASE II

Goal and activities

The goal of phase II was to develop and prioritise proposed actionable solutions that directly address barriers identified in phase I across lupus drug development, clinical care and access to care. Phase II activities included ensuring alignment of priorities with the patient community through development, dissemination and analysis of a global online patient survey and further engagement of the GAC to develop specific recommendations for next steps to implement the identified solutions.

Defining success states

The three pillars and associated barriers identified in phase I provided a framework to generate actionable solutions in phase II. To begin this process, GAC members completed a questionnaire (included in online supplemental file 2) to provide brief and specific descriptions of a high-level 'future success state' to be achieved for each pillar by addressing the related barriers. The GAC members' responses were then consolidated into the descriptions of success states included in table 2.

These definitions of a 'success state' were highly consistent with those collected during the in-depth interviews performed in phase I. A comparison of the barriers, pillars and definitions of success showed alignment around several key themes: (1) disease heterogeneity, (2) need for improved diagnostic tools, (3) limitations of current therapies, (4) need for broader physician education, (5) lack of treatment or trial protocol adherence and (6) rising treatment costs.

Patient survey

To further validate barriers identified by the GAC and to ensure representation of the patient voice, the ALPHA team developed and administered a 23-question global online patient and caregiver survey on the top priorities in lupus care and research. The survey questions focused on three key themes to align with the three lupus pillars: research participation (aligning with the drug development pillar), lupus diagnosis (aligning with the clinical care pillar), and managing and accessing lupus care (aligning with the clinical care and access to care pillars). The survey was administered between 16 November 2019

Table 2 Success states by pillar identified by Global Advisory Committee members in phase II

Drug development	Clinical care	Access to care
Improved trial design across age groups	Biomarkers to support timely and accurate diagnosis	Access to high quality, specialised care regardless of location
Improved outcome measures to be uniformly implemented across sponsors	Improved support programmes to encourage treatment adherence among patients	Improved access to care for individuals of lower socioeconomic status
Improved biomarkers to better define heterogeneity and predict response to investigational therapies	Biomarkers to predict patients' responses to therapies to support design of treatment regimens	Improved global access to advanced diagnostics and therapies

and 8 December 2019 and disseminated via email by the LFA and the World Lupus Federation in English, Spanish, Korean and simplified Chinese.

A total of 3233 people completed the survey and 214 completed at least 68% of the survey. Partial responses at this cut-off level had complete answers across all three pillars and were missing only demographic responses, and thus were included in the analysis. Survey respondents included 3330 individuals with lupus and 117 caregivers of children or teenagers under the age of 18 years with lupus. Respondents from 83 countries participated in the survey. Respondents represented a broad range of lupus manifestations, races/ethnicities, countries and ages. They self-identified as either White (57%), Hispanic/Latino (18%), Black or African-American (14%), or Asian (10%). Additional information on the survey respondents is included in online supplemental file 3.

Of the total respondents, most identified as having either SLE without nephritis (66%) or SLE with nephritis (25%). Fifty-nine per cent of respondents reported receiving a lupus diagnosis within a year of discussing symptoms with their doctor. Approximately 60% of respondents reported being misdiagnosed before receiving a lupus diagnosis, with depression and anxiety the most common misdiagnoses (reported by 20% and 19%, respectively).

The top reported challenges experienced by people with lupus were managing medication side effects (43%) and lack of treatment options to relieve symptoms (30%), indicating the priority for new safe and effective treatments. Additionally, difficulty affording care or treatment due to high out-of-pocket costs was also listed as a top concern by 27% of respondents.

Only a relatively small portion of respondents (23%) had participated in research, including clinical trials, and most of these individuals learnt of research opportunities through their doctors (49%). Those who reported not participating in research cited not being asked by their doctor (41%) as the top reason for not participating. Eighty-eight per cent of respondents indicated that, at the time of the survey, they currently saw a rheumatologist for lupus, and less than half of respondents (44%) reported disease management by a primary care physician. A lack of education and awareness about clinical trials, including addressing concerns about benefits and risks of participation, was reported as barriers by 33% of respondents. Access and eligibility were also noted as important factors to be considered in trial design. Insights from the global patient survey supported themes identified from lupus experts around top barriers.

GAC meeting

The GAC held an in-person meeting on 15–16 January 2020 in Washington, DC, to identify actionable solutions to achieve the success states outlined for drug development, clinical care and access to care. Meeting attendees included 18 GAC members, representatives from the

Tufts Center for the Study of Drug Development, staff of the LFA and members of Faegre Drinker Consulting, who led the phase II strategy and facilitated the meeting.

Attendees began the meeting by reviewing and confirming the success states developed based on their responses to the premeeting questionnaire. The meeting then followed the same set of steps for each of the three pillars:

- ▶ Review of the patient survey data related to that pillar.
- ▶ Breakout session group activities (three groups, including at least one of the following meeting participant types per group: clinician–researcher, biopharmaceutical industry, patient advocate and person with lupus):
 - Identify at least one action to achieve each success state.
 - Vote on the highest-priority solutions.
 - Present the breakout group's proposed highest-priority solutions to all meeting participants.
- ▶ Vote by all meeting participants on the consolidated set of proposed solutions identified by each breakout group, followed by full-group voting on overall highest-priority solutions.

The structured sessions produced consensus lists of solutions for each of the three pillars as shown in [table 3](#).

Feasibility, impact and timeline for implementation (FIT) scoring of solutions

Following the in-person meeting, GAC members were asked to assess the priority solutions within each pillar by rating each item for its feasibility, impact and timeline for implementation (FIT) using a detailed scoring guide (online supplemental file 4). This scoring process draws on project management tools such as the Project Management Institute's Matrix for the Evaluation of Strategic Alternatives, which facilitates group decision-making by assessing different factors that contribute to a solution's achievability. Individual FIT components had potential values between 1 and 3, and each solution could receive a total composite score between 3 and 9. Higher scores represent an overall higher achievability based on the composite of the three FIT components.

The LFA programmed the FIT scoring questionnaire through Qualtrics survey software and asked GAC members to participate in two scoring rounds. Drawing from the Delphi method and to better drive consensus, the LFA shared the anonymised scores from the first round with GAC members prior to asking them to complete the second round of scoring. The total scores from round 1 and round 2 of the FIT scoring exercises are presented in [table 4](#).

Four solutions, at least one for each pillar, received FIT scores notably higher than the others after the second round (all scoring greater than 7.00):

1. Access to care: leverage social media (7.78).
2. Drug development: simplify and standardise clinical trial outcome measures, including steroid-sparing as an outcome (7.67).

Table 3 Ranked solutions per pillar identified by Global Advisory Committee

Drug development	Clinical care	Access to care
<ol style="list-style-type: none"> 1. Simplify and standardise clinical trial outcome measures, including steroid-sparing as an outcome. 2. Develop data sharing approaches related to biomarkers, clinical data and lab samples. 3. Propel quality of life-driven studies (eg, fatigue). 4. Increase participant representativeness in clinical trials (e.g., minority, paediatric and cutaneous lupus erythematosus). 	<ol style="list-style-type: none"> 1. Define the lupus spectrum. 2. Perform longitudinal studies of prognostic and diagnostic biomarkers. 3. Drive clinical and lab-based measures for individualised treatments. 4. Identify and support development of treatment adherence strategies that work for lupus and communicate them to patients and providers. 	<ol style="list-style-type: none"> 1. Build the case for World Health Organisation (WHO) prioritisation of lupus. 2. Develop standardised and specialised, expert-driven care pathways. 3. Drive telehealth advances and reimbursement, and build on current infrastructures. 4. Explore broader partnering. 5. Leverage social media. 6. Develop evidence base for interventions, including standardised endpoints/outcomes. 7. Provide payor education and establish alignment.

3. Clinical care: define the lupus spectrum (7.44).
4. Clinical care: identify and support the development of adherence strategies for patients with lupus and communicate them to patients, caregivers and providers (7.22).

The GAC members showed a clear consensus on the solutions for the clinical care and drug development pillars both by voting during the GAC meeting and the FIT scoring. They ranked ‘defining the lupus spectrum’ (clinical care) and ‘simplifying and standardising clinical trial outcomes measures, including steroid-sparing as an outcome’ (drug development) as the highest-priority solutions during the meeting and FIT scoring. However, prioritisation of solutions within the access to care pillar differed from the in-person meeting and FIT scoring. ‘Building a case for World Health Organization (WHO) prioritisation’ was ranked the highest priority during the GAC meeting, but that solution received the lowest FIT score. At the same time, the GAC members gave ‘leveraging social media’ the highest FIT score within the access to care pillar and among all three pillars, but ranked it as a low priority during the GAC meeting.

DISCUSSION AND NEXT STEPS

After completing two rounds of FIT scoring, the GAC members and the patient representatives convened virtually on 20 May 2020 and 17 June 2020 to provide input on the next steps to implement the top-priority solutions.

Drug development

The GAC members and patient representatives ranked ‘simplifying and standardising clinical trial outcome measures, including steroid-sparing as an outcome’ as the highest-priority solution for the drug development pillar during the GAC meeting and the FIT scoring process. During the in-person meeting, participants agreed that current trial outcome measures have many limitations. The heterogeneity of lupus, the wide age spectrum of affected individuals, including children, suboptimal

clinical trial designs, and a lack of validated biomarkers mean many outcome measures may have limitations for regulatory decision-making. For example, the diversity of lupus manifestations means that two individuals with active disease may have non-overlapping manifestations, possibly not adequately captured by available activity scores. Addressing such variation using a single outcome measure therefore presents a significant challenge. Simplifying and standardising outcome measures could minimise confusion about which measures to use, allow comparisons between trials, and provide a diverse set of measures to evaluate the success of interventions for the various types of lupus and across adults and children with this condition. Additionally, steroid-sparing, which is often used as a secondary endpoint in clinical trials, has been shown in large cohort studies to be a clinically meaningful outcome important in all age groups to prevent long-term organ damage.

Next steps

GAC members will publish an update on the current lupus outcome measures and issue a declarative consensus statement on steroid-sparing, emphasising that steroid tapering in practice should be the goal and should be used as a secondary endpoint in clinical trials to help achieve that goal. By crystallising current thinking and expanding the evidence base on outcome measures, including steroid-sparing, GAC members provide an opportunity for the US Food and Drug Administration and other regulatory organisations to enhance the drug development process by modifying currently accepted outcome measures.

Clinical care

The GAC members and patient representatives ranked defining the lupus spectrum as their highest priority for the clinical care pillar during the GAC meeting and the FIT scoring. In phase I, the GAC began to explore conceptualising lupus as a spectrum, or umbrella, of related

Table 4 Total FIT scores

Consensus item	Total	
	Round 1	Round 2
Drug development		
Simplify and standardise clinical trial outcome measures, including steroid-sparing as an outcome.	7.28	7.67
Increase participant representativeness in clinical trials (e.g., minority, paediatric and cutaneous lupus erythematosus).	6.67	6.56
Propel quality of life-driven studies (e.g., fatigue).	6.39	6.56
Develop data sharing approaches related to biomarkers, clinical data and lab samples.	6.00	6.06
Clinical care		
Define the lupus spectrum.	6.94	7.44
Identify and support development of adherence strategies that work for lupus and communicate them to patients and providers.	7.17	7.22
Drive clinical and lab-based measures for individualised treatments.	6.06	5.89
Perform longitudinal studies of prognostic and diagnostic biomarkers.	6.17	5.50
Access to care		
Leverage social media.	7.94	7.78
Explore broader partnering.	6.71	6.89
Provide payor education and establish alignment.	6.35	6.44
Develop standardised and specialised, expert-driven care pathways.	6.28	6.44
Develop evidence base for interventions, including standardised endpoints/outcomes.	6.36	6.00
Drive telehealth advances and reimbursement, and build on current infrastructures.	6.22	6.00
Build the case for World Health Organization (WHO) prioritisation.	5.52	5.11

The solutions are listed in order of highest to lowest Round 2 FIT score within each pillar.

FIT, feasibility, impact and timeline for implementation.

disorders across immune-mediated inflammatory disorders (IMIDs) (e.g., rheumatoid arthritis, antiphospholipid syndrome, Sjögren's syndrome), as well as ranging from cutaneous lupus through systemic disease. Lupus may coexist with and often presents with clinical features similar to other autoimmune diseases.^{10–12} Emerging

science also characterises lupus as a systemic spectrum disorder, rather than as multiple single-organ diseases.¹³ However, misuse of the current classification criteria as diagnostic criteria has become a norm in routine practice. Therefore, development of broader diagnostic criteria better suited for clinical use across the full 'lupus spectrum' is warranted.

Next steps

GAC members will lead the development of a consensus effort to define lupus that builds on current research definitions and includes closely related IMIDs and, for example, cutaneous variants. After establishing a consensus definition, GAC members will work with other stakeholders in the global lupus community to disseminate the definition through publication and broader discussion.

Access to care

Although GAC members and the patient representatives ranked leveraging social media as a lower priority during the in-person meeting, they gave it the highest FIT score within the access to care pillar and overall. Many people with lupus already use social media such as Facebook, Twitter, online communities, blogs, Instagram and YouTube to access information and support for managing their disease.¹⁴ Social media tools are fast, widely used, accessible and low-cost options that can be optimised for broad outreach. By leveraging social media tools, lupus providers, advocacy organisations, researchers and other stakeholders can increase interaction with the lupus patient community to enable opportunities for individuals to access research opportunities, educational and self-management resources, and social support.

During the in-person meeting, meeting participants ranked 'identifying and supporting the development of successful treatment adherence strategies for people with lupus and communicating them to patients and providers' as a low priority, but this solution received the second highest FIT score within the access to care pillar. Treating lupus is a lifelong process that requires ongoing planning and communication. It is important to apply the findings from existing literature about support strategies, treatment adherence and non-adherence in lupus to everyday practice. This is especially important among populations with more limited access to care and who are more likely to be non-adherent.

GAC members and the patient representatives ranked building a case for the WHO prioritisation as the highest-priority solution within the access to care pillar during the in-person meeting, but this solution had the lowest overall FIT score. Engaging with the WHO could pave the way for globally recognised lupus standards, guidance and best practices, with substantial and far-reaching effects on access to care. However, the potential logistical difficulties of engaging with the WHO may make other solutions to improve access to care more achievable, especially in the next 1–5 years.

Next steps

The GAC members agreed that the set of access to care solutions needs to be refined prior to defining specific next steps. GAC members will lead an effort to (1) gain a better understanding of social determinants of health and contributors to health disparities by examining how current tools, such as the LFA registry,¹⁵ can help capture the patient experience for regulatory and coverage purposes, as well as disparities interventions, and (2) explore the unique access to care issues faced by paediatric patients.

Cross-pillar initiative

The GAC also noted that identifying and validating biomarkers could help to address overlapping barriers to both drug development and clinical care. While recommendations related to biomarkers received low FIT scores, largely due to the longer timelines for implementation, this work would still make an important impact. Biomarkers may provide better targets for drug development efforts and aid clinicians with diagnosis, monitoring disease activity and determining an appropriate care regimen for patients.

Next steps

The GAC will lead an assessment of current biomarker development and data sharing activities and identify gaps. This assessment will inform next steps to building standardised global data sharing efforts that link North American initiatives with global initiatives, where possible, and open the door for international collective research collaborations.

Cross-cutting themes

Throughout the in-person meeting discussion, it was clear that leveraging digital technology was an emergent theme in GAC recommendations across the pillars, whether for developing data sharing approaches related to biomarkers or leveraging telehealth practices to increase access to care. Additionally, each of these recommendations warrants applying specific considerations for special populations, including children and teens with lupus, as development and outcomes differ from adult populations. As lupus affects predominantly diverse people of colour and of lower socioeconomic status, special considerations for these groups are also needed.

CONCLUSION

The ALPHA Project has convened lupus experts across stakeholder groups, including people with lupus, to identify, assess, and prioritise concrete solutions to address barriers in lupus drug development, clinical care and access to care. To begin the next phase of the ALPHA Project, the GAC will appoint a task force to develop an implementation plan for the chosen solutions, including selecting teams to carry out the efforts. The ALPHA team will continue to engage broad stakeholder audiences to

increase awareness and involvement with ALPHA efforts to increase adoption of implementation plans.

Lupus is a major public health challenge and the ALPHA Project represents the first global initiative to transform outcomes across the entire continuum of drug development, clinical care and access to care by bringing scientific leaders and the lupus patient community together. Implementation of each solution will require substantial resources and a coordinated series of activities across stakeholders. Such a global concerted effort that includes a strong patient voice alongside clinicians, academics and industry partners will be essential for its success.

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Patient and public involvement statement Lupus patient representatives and advocacy organisation representatives have been included in all steps of the Addressing Lupus Pillars for Health Advancement Project, including serving on the Global Advisory Committee (GAC). Results from a patient survey, which was developed with the GAC and distributed by patient advocacy and support groups to over 3000 people with lupus and caregivers, informed discussion of recommendations during the in-person meeting. Patient representatives were involved in the in-person meeting, actively participating in discussion, selection of top action items, subsequent feasibility, impact and timeline scoring activities and GAC calls, and providing critical review of this publication.

Patient consent for publication Not required.

Ethics approval The Advarra Institutional Review Board (IRB) determined the Addressing Lupus Pillars for Health Advancement patient survey to be exempt from IRB oversight.

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